UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc. ,	Case No. <u>08-13555 (JMP)</u>
TRANSFER OF CLAIM OT A CLAIM HAS BEEN FILED IN THIS CASE or de hereby gives evidence and notice pursuant to Rule 3 than for security, of the claim referenced in this evid	001(e)(2), Fed. R. Bankr. P., of the transfer, other
SMBC Nikko Securities Inc. Name of Transferee	Nikko Cordial Securities Inc. Name of Transferor
Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Court Claim # (if known):45875
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chlyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name and Address where notices to transferee should be sent: **Ira A. Reid Baker & McKenzie LLP 1114 Avenue of the Americas New York, NY 10036
I declare under penalty of perjury that the information best of my knowledge and belief. By:	on provided in this notice is true and correct to the Date: 04/5/2011

Form 210B (12/09)	Davidson Carrot
United States E	Bankruptcy Court
Southern Dist	trict Of New York
In re Lehman Brothers Holdings, Inc. , Ca	se No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CLA	AIM OTHER THAN FOR SECURITY
	I filed under 11 U.S.C. § 1111(a) in this case by the that claim, the transferee filed a Transfer of Claim is court on (date).
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
~~DEADLINE TO O	BJECT TO TRANSFER~~
	fied that objections must be filed with the court is notice. If no objection is timely received by the ginal claimant without further order of the court.
Date:	
	CLERK OF THE COURT

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 45875

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$1,703,416.29 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike_hideyuki@smbc.nikko.co.ip, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 5, 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouii Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Jouji Matsumoto

General Manager of the Legal Division

natsimoto

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc. , Case No08-13555 (JMP)
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TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

SMBC Nikko Securities Inc.	Nikko Cordial Securities Inc.
Name of Transferee	Name of Transferor
Name and Address where notices to transferee	Court Claim # (if known): 45876
should be sent:	Amount of Claim: \$2,271,221.72
**SMBC Nikko Securities Inc., 3-1	Date Claim Filed: 10/26/2009
Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	
Attn: Hideyuki Shinike Phone: 81-3-5644-3111	Phone:
Last Four Digits of Acct #:	Last Four Digits of Acct. #:
Name and Address where transferee payments should be sent (if different from above):	Name and Address where notices to transferee should be sent:
should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	**Ira A. Reid Baker & McKenzie LLP
Attn: Hideyuki Shinike	1114 Avenue of the Americas New York, NY 10036
Phone: 81-3-5644-3111	14644 1016, 141 10000
Last Four Digits of Acct #:	
I declare under penalty of perjury that the informati	on provided in this notice is true and correct to the
best of my knowledge and belief.	
By: J. Matsumoto	Date: 04/572011
Transferee/Transferee's Agent	

Form	210B	(12	/09 <u>1</u>

	,
Southern Distri	ct Of New York
In re <u>Lehman Brothers Holdings, Inc.</u> , Case	No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CLAIR	M OTHER THAN FOR SECURITY
Claim No. 45876 (if known) was filed or deemed fi alleged transferor. As evidence of the transfer of the Other than for Security in the clerk's office of this	nat claim, the transferee filed a Transfer of Claim
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
~~DEADLINE TO OB	DECT TO TRANSFER~~
The alleged transferor of the claim is hereby notified	ed that objections must be filed with the court
within twenty-one (21) days of the mailing of this recourt, the transferee will be substituted as the origin	notice. If no objection is timely received by the
combined with the properties and the origin	
Date:	CLERK OF THE COURT
	Carried Indicate

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 45876

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$2,271,221.72 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike_hideyuki@smbc.nikko.co.ip,, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 5, 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouji Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Iouii Matsumote

General Manager of the Legal Division

Chatrumolo

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.	Case No. <u>08-13555 (JMP)</u>
TRANSFER OF CLAIM OT A CLAIM HAS BEEN FILED IN THIS CASE or de hereby gives evidence and notice pursuant to Rule 3 than for security, of the claim referenced in this evid	001(e)(2), Fed. R. Bankr. P., of the transfer, other
SMBC Nikko Securities Inc. Name of Transferee	Nikko Cordial Securities Inc. Name of Transferor
Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Court Claim # (if known):45877
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name and Address where notices to transfered should be sent: **Ira A. Reid Baker & McKenzie LLP 1114 Avenue of the Americas New York, NY 10036
I declare under penalty of perjury that the information best of my knowledge and belief. By:	on provided in this notice is true and correct to the Date: 04/\$\frac{1}{2011}

Form 210B (12/09)

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Southern Distric	ct Of New York
In re <u>Lehman Brothers Holdings, Inc.</u> , Case N	No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CLAIM	M OTHER THAN FOR SECURITY
Claim No. 45877 (if known) was filed or deemed fil alleged transferor. As evidence of the transfer of the Other than for Security in the clerk's office of this contract.	at claim, the transferee filed a Transfer of Claim
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
~-DEADLINE TO ОВЛ	
The alleged transferor of the claim is hereby notified within twenty-one (21) days of the mailing of this no court, the transferee will be substituted as the original	otice. If no objection is timely received by the
Date:	

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 45877

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$1,898,928.88 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike_hideyuki@smbc.nikko.co.ip,, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 5, 2011.

SMBC Nikko Securities Inc., as Transferee:

By: J. Matsumolo

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Jouii Matsumoto

General Manager of the Legal Division

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.	Case No.	08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

SMBC Nikko Securities Inc.	Nikko Cordial Securities Inc.	
Name of Transferee	Name of Transferor	
Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Court Claim # (if known): 45878 Amount of Claim: \$946,342.38 Date Claim Filed: 10/26/2009	
Attn: Hideyuki Shinike Phone: _ <u>81-3-5644-3111</u>	Phone:	
Last Four Digits of Acct #:	Phone: Last Four Digits of Acet. #:	
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name and Address where notices to transferee should be sent: **Ira A. Reid Baker & McKenzie LLP 1114 Avenue of the Americas New York, NY 10036	
I declare under penalty of perjury that the informati best of my knowledge and belief.	on provided in this notice is true and correct to the	
By: <u>Onatsumoto</u> Transferee/Transferee's Agent	Date: 04/5/2011	

Form 210B (12/09) United State	es Bankruptcy Court
Southern In re Lehman Brothers Holdings, Inc. ,	District Of New York Case No. 08-13555 (JMP)
In te Leiman browlers Holdings, inc.	Cust 140
NOTICE OF TRANSFER OF	CLAIM OTHER THAN FOR SECURITY
Claim No. 45878 (if known) was filed or de alleged transferor. As evidence of the trans Other than for Security in the clerk's office	semed filed under 11 U.S.C. § 1111(a) in this case by the fer of that claim, the transferee filed a Transfer of Claim of this court on (date).
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
~~DEADLINE	TO OBJECT TO TRANSFER~~
within twenty-one (21) days of the mailing	y notified that objections must be filed with the court of this notice. If no objection is timely received by the the original claimant without further order of the court.

CLERK OF THE COURT

Date:_____

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 45878

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$946,342.38 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike_hideyuki@smbc.nikko.co.jp,, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouii Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Jouii Matsumoto

General Manager of the Legal Division

matsumoto

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.	Case No. 08-13555 (JMP)
TRANSFER OF CLAIM OT A CLAIM HAS BEEN FILED IN THIS CASE or d hereby gives evidence and notice pursuant to Rule 3 than for security, of the claim referenced in this evid	001(e)(2), Fed. R. Bankr. P., of the transfer, other
SMBC Nikko Securities Inc.	Nikko Cordial Securities Inc.
Name of Transferee Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name of Transferor Court Claim # (if known):45879 Amount of Claim:\$946,342.38 Date Claim Filed:10/26/2009 Phone: Last Four Digits of Acct. #:
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name and Address where notices to transferee should be sent: **Ira A. Reid Baker & McKenzie LLP 1114 Avenue of the Americas New York, NY 10036
I declare under penalty of perjury that the information best of my knowledge and belief. By:	on provided in this notice is true and correct to the Date: 04/5/12011

Form	21	0B	(12,	(09)
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_Southern Dis	trict Of New York
In re <u>Lehman Brothers Holdings, Inc.</u> , Ca	se No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CLA	AIM OTHER THAN FOR SECURITY
	d filed under 11 U.S.C. § 1111(a) in this case by the f that claim, the transferee filed a Transfer of Claim is court on (date).
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
~~DEADLINE TO O	BJECT TO TRANSFER~~
	fied that objections must be filed with the court is notice. If no objection is timely received by the ginal claimant without further order of the court.
Date:	
	CLERK OF THE COURT

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 45879

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$946,342.38 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike_hideyuki@smbc.nikko.co.ip,, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 5, 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouji Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Jouji Matsumoto

General Manager of the Legal Division

natsumota

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.	Case No. 08-13555 (JMP)
TRANSFER OF CLAIM OTH A CLAIM HAS BEEN FILED IN THIS CASE or de hereby gives evidence and notice pursuant to Rule 30 than for security, of the claim referenced in this evidence	001(e)(2), Fed. R. Bankr. P., of the transfer, other
SMBC Nikko Securities Inc. Name of Transferee	Nikko Cordial Securities Inc. Name of Transferor
Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Court Claim # (if known):45880
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name and Address where notices to transferee should be sent: **Ira A. Reid Baker & McKenzie LLP 1114 Avenue of the Americas New York, NY 10036
I declare under penalty of perjury that the informatio best of my knowledge and belief. By:	n provided in this notice is true and correct to the Date: 04/5/2011

Form	210B	(12	/09
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Southern Di	istrict Of New York
In re Lehman Brothers Holdings, Inc. ,	Case No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CL	LAIM OTHER THAN FOR SECURITY
	ned filed under 11 U.S.C. § 1111(a) in this case by the
alleged transferor. As evidence of the transfer of the transfer of the than for Security in the clerk's office of the transfer	of that claim, the transferee filed a Transfer of Claim
omer than for security in the cierk's office of t	uns court on (vale).
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
75Ky5 100 00±0, 00pan	100 0025, 0 4pdii
~~DEADLINE TO	OBJECT TO TRANSFER~~
	otified that objections must be filed with the court
	this notice. If no objection is timely received by the original claimant without further order of the court.
obail, and translation with our burblinding up the or	
Date:	CLERK OF THE COURT
	· ·

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 45880

Nikko Cordial Securities Inc. ("Transferor"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("Transferee"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$1,027,609.04 ("Claim") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike_hideyuki@smbc.nikko.co.ip, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 5, 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouji Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

: (). Chatsumoto

General Manager of the Legal Division

In re Lehman Brothers Holdings, Inc.

UNITED STATES BANKRUPTCY COURT

Case No. <u>08-13555 (JMP)</u>

Southern District of New York

TRANSFER OF CLAIM OTHER THAN FOR SECURITY A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.		
SMBC Nikko Securitles Inc. Name of Transferee	Nikko Cordial Securities Inc. Name of Transferor	
Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5544-3111 Last Four Digits of Acct #:	Court Claim # (if known): 45881 Amount of Claim: \$999,784.44 Date Claim Filed: 10/26/2009 Phone: Last Four Digits of Acct. #:	
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acet #:	Name and Address where notices to transfered should be sent: **Ira A. Reid Baker & McKenzle LLP 1114 Avenue of the Americas New York, NY 10036	
I declare under penalty of perjury that the information best of my knowledge and belief. By:	on provided in this notice is true and correct to the Date: 04/1/2011	

Form	210B	(12/	'በ9ነ

Southern Dis	trict Of New York
In re Lehman Brothers Holdings, Inc. Ca	ase No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CLA	AIM OTHER THAN FOR SECURITY
	d filed under 11 U.S.C. § 1111(a) in this case by the f that claim, the transferee filed a Transfer of Claim is court on (date).
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
	BJECT TO TRANSFER~~
The alleged transferor of the claim is hereby noti within twenty-one (21) days of the mailing of thi court, the transferee will be substituted as the ori	ified that objections must be filed with the court is notice. If no objection is timely received by the ginal claimant without further order of the court.
Date:	CLERK OF THE COURT
	7 'I WIN K A SW' I BAR' ('T SI IN' I'

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 45881

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$999,784.44 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike hideyuki@smbc.nikko.co.jp, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 1, 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouji Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Jouji Matsumoto

General Manager of the Legal Division

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UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc.	Case No. <u>08-13555 (JMP)</u>
TRANSFER OF CLAIM OT A CLAIM HAS BEEN FILED IN THIS CASE or d hereby gives evidence and notice pursuant to Rule 3 than for security, of the claim referenced in this evid	001(e)(2), Fed. R. Bankr. P., of the transfer, other
SMBC Nikko Securities Inc. Name of Transferee	Nikko Cordial Securities Inc. Name of Transferor
Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Court Claim # (if known): 17805 Amount of Claim: \$946,342.00 Date Claim Filed: 10/26/2009
Attn: Hideyuki Shinike Phone: _81-3-5644-3111 Last Four Digits of Acct #:	Phone: Last Four Digits of Acct. #:
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name and Address where notices to transfered should be sent: **Ira A. Reid Baker & McKenzie LLP 1114 Avenue of the Americas New York, NY 10036
I declare under penalty of perjury that the informati best of my knowledge and belief. By: A Matsumoto	on provided in this notice is true and correct to the

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Transferee/Transferee's Agent

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Southern Distr	rict Of New York
re <u>Lehman Brothers Holdings, Inc.</u> , Case	e No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CLA	IM OTHER THAN FOR SECURITY
	filed under 11 U.S.C. § 1111(a) in this case by the that claim, the transferee filed a Transfer of Claim court on (date).
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
lame of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
	JECT TO TRANSFER
The alleged transferor of the claim is hereby notifi- within twenty-one (21) days of the mailing of this ourt, the transferee will be substituted as the original	notice. If no objection is timely received by the

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 17805

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$946,342.00 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike_hideyuki@smbc.nikko.co.jp,, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April (£), 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouji Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Jouji Matsumoto

General Manager of the Legal Division

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UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings, Inc. ,	Case No. <u>08-13555 (JMP)</u>
TRANSFER OF CLAIM OTH A CLAIM HAS BEEN FILED IN THIS CASE or deer hereby gives evidence and notice pursuant to Rule 300 than for security, of the claim referenced in this eviden	med filed under 11 U.S.C. § 1111(a). Transferee 1(e)(2), Fed. R. Bankr. P., of the transfer, other
SMBC Nikko Securities Inc.	Nikko Cordial Securities Inc.
Name of Transferee	Name of Transferor
Name and Address where notices to transferee should be sent: **SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Court Claim # (if known):65412 Amount of Claim:\$932,526.00 Date Claim Filed:10/26/2009
Attn: Hideyuki Shinike Phone: 81-3-5644-3111	Phone:
Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Phone: Last Four Digits of Acct. #:
Name and Address where transferee payments should be sent (if different from above): SMBC Nikko Securities Inc., 3-1 Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan Attn: Hideyuki Shinike Phone: 81-3-5644-3111 Last Four Digits of Acct #:	Name and Address where notices to transfered should be sent: **Ira A. Reid Baker & McKenzie LLP 1114 Avenue of the Americas New York, NY 10036
I declare under penalty of perjury that the information best of my knowledge and belief. By:	provided in this notice is true and correct to the Date: 04/572011

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	ct Of New York
In re Lehman Brothers Holdings, Inc. , Case	No. <u>08-13555 (JMP)</u>
NOTICE OF TRANSFER OF CLAIR	M OTHER THAN FOR SECURITY
Claim No. 65412 (if known) was filed or deemed fi alleged transferor. As evidence of the transfer of th Other than for Security in the clerk's office of this o	at claim, the transferee filed a Transfer of Claim
Nikko Cordial Securities Inc.	SMBC Nikko Securities Inc.
Name of Alleged Transferor	Name of Transferee
Address of Alleged Transferor:	Address of Transferee:
Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325 Japan	Marunouchi 3-chome, Chiyoda-ku Tokyo 100-8325, Japan
	ECT TO TRANSFER~~
The alleged transferor of the claim is hereby notifie within twenty-one (21) days of the mailing of this recourt, the transferee will be substituted as the original	notice. If no objection is timely received by the

TO: Clerk of the Court

United States Bankruptcy Court

Southern District of New York ("Bankruptcy Court")

New York, New York

RE:

Lehman Brothers Holdings, Inc. ("Debtor")

Case No. 08-13555 (JMP)

Claim #: 65412

Nikko Cordial Securities Inc. ("<u>Transferor</u>"), for value received, the adequacy and sufficiency of which are hereby acknowledged, does hereby unconditionally and irrevocably transferred and assign to SMBC Nikko Securities Inc., its successors and assigns ("<u>Transferee</u>"), all rights, title and interest in and to the claim of Transferor in the amount of U.S. \$932,526.00 ("<u>Claim</u>") against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the Claim and recognizing the Transferee as the sole owner and holder of the Claim, and that all payments or distributions of money or property in respect of the Claim be delivered or made to Transferee.

You are hereby directed to make all future payments and distributions to Transferee, at SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan; and to give all notices and other communications, in respect of the Claim to SMBC Nikko Securities Inc., 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo 100-8325, Japan, Telephone number: +81-3-5644-3111, shinike hideyuki@smbc.nikko.co.jp,, and Baker & McKenzie LLP, 1114 Avenue of the Americas, New York, NY 10036, Attn: Ira A. Reid, Tele. No. 212-891-3976, ira.reid@bakermckenzie.com.

IN WITNESS WHEREOF, the undersigned has duly executed this Assignment of Claim by its duly authorized representative dated April 5, 2011.

SMBC Nikko Securities Inc., as Transferee:

Jouji Matsumoto

General Manager of the Legal Division

Nikko Cordial Securities Inc., as Transferor

Jouji Matsumoto

General Manager of the Legal Division

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